



## L. Andrew Brehm

Shareholder

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Drew Brehm serves securities brokers, various commercial enterprises and insurance companies with respect to a wide range of issues. Drew works primarily on complex commercial matters in diverse areas including ERISA cases, securities fraud actions, securities and insurance industry regulatory proceedings, employment-related issues and contract disputes.

His background in economics and fascination with the stock market led to his early concentration in securities litigation, which has evolved into a diverse practice which now also encompasses a wide array of matters whose numerous intellectual challenges continue to fuel his interest.

### Areas of Practice

#### Civil Litigation, Financial Services, Insurance, Labor & Employment

Drew provides a variety of legal services to our clients including:

- Representation for broker-dealers, associated persons, investment advisors and investors in securities law claims
- Defense of ERISA claims alleging breaches of fiduciary responsibilities
- Litigation of “raiding” disputes for securities brokerage firms and other industries
- Prosecution and defense of claims pertaining to restrictive covenant contracts, and with respect to trade secret claims
- Representation of clients in regulatory, administrative and disciplinary proceedings by securities, commodities and insurance industry regulators
- Defense of employment discrimination claims, and prosecution and defense of other employment-related matters
- Pursuit and defense of civil claims under the Racketeer Influenced and Corrupt Organizations Act (RICO)

### Achievements

Drew possesses great skill in confronting the challenged posed by complex commercial litigation involving financial matters, including valuation issues and securities and commodities cases involving diverse and unique financial instruments, including options, mortgage-backed securities and other derivatives instruments, and hedge funds.

Drew’s achievements include:

- Participated in the successful defense, after a two-week bench trial, of corporate entities and several of their directors against ERISA claims involving alleged prohibited transactions
- Successfully defended a brokerage firm in a jury trial involving a State of Illinois inheritance tax examiner who stole stock from the safety deposit box from a deceased individual and sold it
- Successfully represented a major broker-dealer against allegations of securities fraud by a securities trader
- Secured the dismissal of federal securities law and other claims made by a speculator into a hedge fund
- Successfully argued the first federal appellate case involving the time limits after which a customer's securities fraud claim deemed ineligible for arbitration
- Obtained an appellate court decision that a marketplace operator was not liable as a "contributor infringer" for counterfeit sales bearing its trademark
- Successfully defended a major corporation liable for raiding personnel from one of its competitors
- Represented a major computer equipment distributor against breach of contract and claims of fraud made by a supplier, resulting in a pretrial grant of summary judgment on the distributor's counterclaims, a favorable jury verdict on the supplier's fraud claim and a successful post-trial motion significantly reducing the damages awarded on a breach of contract claim
- Represented a licensee of various rock bands; obtained restraining orders against the sale of counterfeit merchandise at concerts, enforced those orders, and handled follow-up court proceedings.
- Defended a securities brokerage firm against a claim involving multiple alleged violations of federal and state securities laws
- Represented the seller of a going business against allegations of breach of contract, fraud and breaches of warranty levied by the acquirer of that business

## Thought Leadership

### Lectures

"Keeping It Under Lock and Key: Restrictive Covenants, Trade Secrets and Employee Duty of Loyalty," the Plaza Club, 2006.

## Education

J.D., Cum Laude, University of Michigan Law School, Ann Arbor, Michigan, 1979.

A.B. in Economics, High Honors, University of Notre Dame, South Bend, Indiana, 1976.

## Bar & Court Admissions

Illinois, 1979

Supreme Court of Illinois, 1979

United States District Court for the Northern District of Illinois, General Bar, 1979

United States Court of Appeals for the Seventh Circuit, 1982

United States District Court for the Northern District of Illinois, Trial Bar, 1985

United States District Court for the Eastern District of Wisconsin, 1994

United States Supreme Court, 2005

United States Court of Appeals for the Ninth Circuit, 2012

## **Experience**

### **Schuyler, Roche & Crisham, P.C.**

Chicago, Illinois

*Shareholder*

1986 to Present

*Associate*

1979 to 1986

## **Peer Recognition**

Illinois Super Lawyers, *Law & Politics*, 2005 and 2006.

Leading Lawyers Network, Law Bulletin Publishing Company, 2006.

Martindale-Hubbell, "peer review rated for ethical standards and legal ability," since 1997.

## **Professional Membership**

Chicago Bar Association

Illinois State Bar Association

American Bar Association

American Arbitration Association (Panel of Arbitrators, 1987 to 2000)

National Association of Securities Dealers, Inc. (1987 to 1998)

## **Civic Affiliations**

Notre Dame Club of Chicago, Board of Governors, 1985 to 1988.